# BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF OKLAHOMA

STATE OF OKLAHOMA, ex rel. GLEN	)	
MULREADY, Insurance Commissioner,	)	
Petitioner,	)	
v.	į	Case No. 23-0596-DIS
RICHARD WAYNE GOMEZ,	* ;	FILED
an applicant for renewal of a resident	)	II II Ilain Ilain Ilain
producer license,	)	
	)	OCT <b>1 9</b> 2023
Respondent.	)	

CONDITIONAL ORDER
AND NOTICE OF RIGHT TO BE HEARD

INSURANCE COMMISSIONER OKLAHOMA

**COMES NOW** the State of Oklahoma, ex rel. Glen Mulready, Insurance Commissioner, by and through his attorney, Teresa L. Green, and alleges and states as follows:

#### **JURISDICTION**

- 1. Glen Mulready is the Insurance Commissioner of the State of Oklahoma and is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, 36 O.S. §§ 101 *et seq.*, including Oklahoma Producer Licensing Act, 36 O.S. §§ 1421 *et seq.*
- 2. Richard Wayne Gomez ("Respondent") is an applicant for renewal of a resident producer license in the State of Oklahoma, formerly holding license #3001678208. Respondent's address of record is 2706 Northwest 21<sup>st</sup> Place, Lawton, OK 73505.

#### FINDINGS OF FACT

- 3. The Respondent applied to reinstate his resident producer license on or about July 19, 2023.
  - 4. On the application form, question 2 asks the following: "Have you been named or

involved as a party in an administrative proceeding, including a FINRA sanction or arbitration proceeding regarding any professional or occupational license or registration, which has not been previously reported to this insurance department?...'Involved' also means having a license, or registration, application denied...." Respondent answered "No" to this question.

- 5. On or about December 17, 2021, Respondent was denied a producer license in the State of Kansas.
- 8. Pursuant to 36 O.S. § 1435.13, the Insurance Commissioner may place on probation, censure, suspend, revoke or refuse to issue or renew a license issued pursuant to the Oklahoma Producer Licensing Act for providing incorrect, misleading, incomplete or materially untrue information in the licensing application.
- 9. Pursuant to 36 O.S. § 1435.13(D), in addition to or in lieu of any applicable denial, probation, censure, suspension or revocation of a license, a person may, after opportunity for hearing, be subject to a civil fine of not less than One Hundred Dollars (\$100.00) nor more than One Thousand Dollars (\$1,000.00) for each occurrence.

### **CONCLUSIONS OF LAW**

10. Respondent violated 36 O.S. § 1435.13 by providing incorrect, misleading, incomplete or materially untrue information in the producer licensing application when Respondent did not disclose the December 17, 2021, producer license denial issued by the State of Kansas.

#### ORDER

IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED that Respondent is hereby **FINED** Two Hundred Dollars (\$200.00). The fine is to be paid within thirty (30) days of the date of this Conditional Order and made payable to the Oklahoma Insurance Department, Attn:

23-0596-DIS Conditional Order and Notice of Right to be Heard Legal Division. The fine may be paid by check, cashier's check, or money order.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED by the Insurance Commissioner that this Order is conditional. Unless the Respondent requests a hearing with respect to the Findings of Fact set forth above within thirty (30) days of the date of mailing of this Conditional Order, the penalties set forth above will become a Final Order on the thirty-first (31st) day following the date of mailing this Conditional Order. A request for hearing should be in writing addressed to Teresa Green, Oklahoma Insurance Department, Legal Division, 400 N.E. 50<sup>th</sup> Street, Oklahoma City, Oklahoma 73105. The request for hearing must state the grounds for the request to set aside or modify this Conditional Order and must be received by the Oklahoma Insurance Department within the thirty (30) days allotted.

The hearing will be conducted in accordance with the Oklahoma Insurance Code, 36 O.S. §§ 101 et seg. and the Oklahoma Administrative Procedures Act, 75 O.S. §§ 250 et seg. If Respondent serves a timely request for hearing on the Oklahoma Insurance Department, this Conditional Order shall act as a notice of the matters to be reviewed at the hearing and the Findings of Fact, Conclusions of Law, and penalties imposed in this Conditional Order shall be considered withdrawn, pending final resolution at the hearing.

WITNESS My Hand and Official Seal this day of October, 2023.

INSURANCE COMMISSIONER

STATE OF OKLAHOMA

Teresa L. Green, OBA #32897

Senior Counsel

Qklahoma Insurance Department

400 NE 50th Street

Oklahoma City, OK 73105

Telephone: (405) 521-2748

## **CERTIFICATE OF MAILING**

I hereby certify that a true and correct copy of the above and foregoing Conditional Order and Notice of Right to be Heard was sent by certified mail, with postage prepaid and return receipt requested, on this Aday of October, 2023 to:

Richard Wayne Gomez 2706 Northwest 21st Place Lawton, OK 73505

CERTIFIED MAIL NO. 9214 8902 0982 7500 0573 92

A copy was delivered to:

Licensing Division

Teresa .. Green