

BEFORE THE INSURANCE COMMISSIONER OF THE
STATE OF OKLAHOMA

FILED
JAN 25 2022
INSURANCE COMMISSIONER
OKLAHOMA

STATE OF OKLAHOMA, ex rel. GLEN)
MULREADY, Insurance Commissioner,)
)
Petitioner,)
v.)
)
JAMES CHRISTOPHER JONES,)
a resident insurance producer,)
)
)
Respondent.)

Case No. 22-0028-DIS

CONDITIONAL ADMINISTRATIVE ORDER
AND NOTICE OF RIGHT TO BE HEARD

COMES NOW the State of Oklahoma, ex rel. Glen Mulready, Insurance Commissioner, by and through counsel, Andrea M. Golden, and alleges and states as follows:

JURISDICTION

1. Glen Mulready is the Insurance Commissioner of the State of Oklahoma and, as such, is charged with the duty of administering and enforcing all provisions of the Oklahoma Insurance Code, *36 O.S. §§ 101-7401*, including the Oklahoma Producer Licensing Act, *36 O.S. § 1435.1 et seq.*
2. Respondent James Christopher Jones, (“Respondent”), is an resident insurance producer holding license number 98004. Respondent’s address of record is 5612 S. Lewis Tulsa, OK 74105.
3. The Insurance Commissioner has jurisdiction over the subject matter raised in this dispute and may issue penalties pursuant to *36 O.S. § 1435.13(A) and (D)*.
4. Pursuant to *36 O.S. § 1435.13(D)*, in addition to or in lieu of any applicable denial, probation, censure, suspension or revocation of a license, a person may, after opportunity for hearing, be subject to a civil fine of not less than One Hundred Dollars (\$100.00) nor more than

One Thousand Dollars (\$1,000.00) for each occurrence. The penalty may be enforced in the same manner in which civil judgments may be enforced.

5. If Respondent requests a hearing in writing in this matter, pursuant to *OAC 365:1-7-1*, the Insurance Commissioner, pursuant to *36 O.S. § 319*, will appoint an independent hearing examiner who shall sit as a quasi-judicial officer and preside over the hearing requested by Respondent.

FINDINGS OF FACT

6. On or about December 28, 2021, Respondent submitted an application to renew his resident producer license.

7. Oklahoma Insurance Department's records show that Respondent's license became expired on April 30, 2021.

8. Respondent failed to maintain an active license while conducting insurance business during the period of May 1, 2021 through December 23, 2021. During this time, one hundred fifty-six (156) insurance policies were sold.

9. Pursuant to *36 O.S. §1435.4(A)*, "A person shall not sell, solicit, or negotiate insurance in this state for any class or classes of insurance unless the person is licensed for that line of authority in accordance with the Oklahoma Producer Licensing Act."

10. Pursuant to the provisions of *36 O.S. §1435.13*:

a. The Insurance Commissioner may place on probation, censure, suspend, revoke or refuse to issue or renew a license issued pursuant to the Oklahoma Producer Licensing Act or may levy a civil penalty in accordance with subsection D of this section or any combination of actions, for any one or more of the following causes:

i. Violating any insurance laws, or violating any regulation, subpoena or order of the Insurance Commissioner or of another state's Insurance Commissioner

- a. In addition to or in lieu of any applicable denial, probation, censure, suspension or revocation of a license, a person may, after opportunity for hearing, be subject to a civil fine of not less than One Hundred Dollars (\$100.00) nor more than One Thousand Dollars (\$1,000.00) for each occurrence. Said penalty may be enforced in the same manner in which civil judgments may be enforced.

CONCLUSIONS OF LAW

11. Respondent has violated *36 O.S. §1435.4(A)* and *36 O.S. §1435.13(A)(2)* by quoting, selling, soliciting, or negotiating one hundred fifty-six (156) policies with an expired license.

ORDER

IT IS THEREFORE ORDERED that Respondent is hereby **CENSURED** and **FINED** Three Hundred Dollars (\$300.00). The fine shall be paid within thirty (30) days of the date of this Conditional Administrative Order and made payable to the Oklahoma Insurance Department. The fine shall be sent to the Oklahoma Insurance Department located at 400 NE 50th Street, Oklahoma City, Oklahoma 73105. Failure to comply with a proper order of the Commissioner will result in further administrative action.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED by the Insurance Commissioner that this Order is a Conditional Order. Unless Respondent requests a hearing with respect to the Findings of Fact set forth above within thirty (30) days of the date of this Conditional Order, the penalties set forth above will become a Final Order on the thirty-first (31st) day following the date of mailing this Order. A request for hearing should be in writing and addressed to Andrea M. Golden, Oklahoma Insurance Department, Legal Division, 400 NE 50th Street, Oklahoma City, Oklahoma 73105. The request for hearing must state the grounds for the request to set aside or modify the Order and must be served on the Oklahoma Insurance Department within

the 30 days allotted. The proceedings on such requested hearing will be conducted in accordance with the Oklahoma Insurance Code, 36 O.S. §§ 101 et seq., and the *Oklahoma Administrative Procedures Act*, 75 O.S. §§ 250 through 324. If Respondent serves a timely request for hearing on the Oklahoma Insurance Department, this Conditional Order will act as a notice of the matters to be reviewed at the hearing and the Findings of Fact, Conclusions of Law, and penalties imposed in this Conditional Order shall be considered withdrawn, pending final resolution at the hearing.

WITNESS My Hand and Official Seal this 25th day of January 2022.



GLEN MULREADY
INSURANCE COMMISSIONER
STATE OF OKLAHOMA

A handwritten signature in blue ink, appearing to be "A. Golden", written over a horizontal line.

Andrea M. Golden
Assistant General Counsel
Oklahoma Insurance Department
400 NE 50th Street
Oklahoma City, Oklahoma 73105
Tel. (405) 521-6695
Fax (405) 522-0125

CERTIFICATE OF MAILING

I hereby certify that a true and correct copy of the above and foregoing *Conditional Administrative Order and Notice of Right to be Heard* was mailed by regular mail and by certified mail, with postage prepaid and return receipt requested, on this 25th day of January 2022, to:

James Christopher Jones
5612 S. Lewis
Tulsa, OK 74105


CERTIFIED MAIL NO:
9214 8902 0982 7500 0437 77

J. Angela Ables
Kerr, Irvine, Rhodes & Ables
201 Robert S. Kerr Ave., Ste. 600
Oklahoma City, OK 73102

CERTIFIED MAIL NO:
9214 8902 0982 7500 0437 84

and a copy was delivered to:

Licensing Division



Andrea M. Golden
Assistant General Counsel



22-0028-DIS
(Jones) AMS

Date Produced: 01/31/2022

OKLAHOMA INSURANCE DEPARTMENT:

The following is the delivery information for Certified Mail™/RRE item number 9214 8902 0982 7500 0437 77. Our records indicate that this item was delivered on 01/28/2022 at 11:22 a.m. in TULSA, OK 74105. The scanned image of the recipient information is provided below.

Signature of Recipient :

529 VT
COV-19

Address of Recipient :

5612 S. LEWIS Ave

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,
United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

This USPS proof of delivery is linked to the customers mail piece information on file as shown below:

JAMES CHRISTOPHER JONES
5612 S LEWIS AVE
TULSA OK 74105-7107

Customer Reference Number: C3188284.18544922



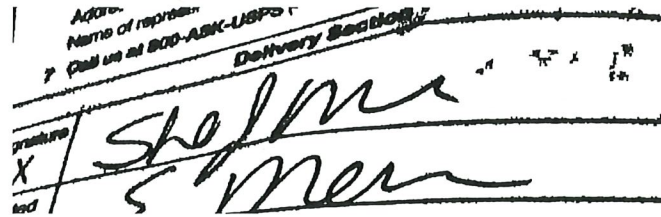
22-0028-025
(Ables) ASD

Date Produced: 01/31/2022

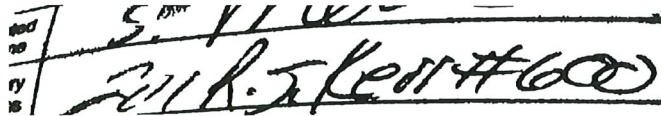
OKLAHOMA INSURANCE DEPARTMENT:

The following is the delivery information for Certified Mail™/RRE item number 9214 8902 0982 7500 0437 84. Our records indicate that this item was delivered on 01/27/2022 at 12:41 p.m. in OKLAHOMA CITY, OK 73102. The scanned image of the recipient information is provided below.

Signature of Recipient :
(Authorized Agent)



Address of Recipient :



Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,
United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

This USPS proof of delivery is linked to the customers mail piece information on file as shown below:

J ANGELA ABLES
KERR IRVINE RHODES & ABLES
STE 600
201 ROBERT S KERR AVE
OKLAHOMA CITY OK 73102-4203

Customer Reference Number: C3188284.18544923

Track Another Package +

Tracking Number: 9214890209827500043777

[Remove X](#)

Your item was delivered to the front desk, reception area, or mail room at 11:22 am on January 28, 2022 in TULSA, OK 74105.

USPS Tracking Plus™ Available ∨

Delivered, Front Desk/Reception/Mail Room

January 28, 2022 at 11:22 am
TULSA, OK 74105

Feedback

Get Updates ∨

Text & Email Updates



Return Receipt Electronic



Tracking History



January 28, 2022, 11:22 am

Delivered, Front Desk/Reception/Mail Room
TULSA, OK 74105

Your item was delivered to the front desk, reception area, or mail room at 11:22 am on January 28, 2022 in TULSA, OK 74105.

January 27, 2022, 3:20 am
Departed USPS Regional Facility
TULSA OK DISTRIBUTION CENTER

January 26, 2022, 1:32 pm
Arrived at USPS Regional Facility
TULSA OK DISTRIBUTION CENTER

January 26, 2022, 8:34 am
Departed USPS Regional Facility
OKLAHOMA CITY OK DISTRIBUTION CENTER

January 25, 2022, 9:32 pm
Arrived at USPS Regional Facility
OKLAHOMA CITY OK DISTRIBUTION CENTER

January 25, 2022, 8:17 pm
Accepted at USPS Origin Facility
OKLAHOMA CITY, OK 73105

January 25, 2022, 4:08 pm
Shipment Received, Package Acceptance Pending
OKLAHOMA CITY, OK 73118

January 25, 2022
Pre-Shipment Info Sent to USPS, USPS Awaiting Item

Feedback

USPS Tracking Plus™



Your item is eligible for USPS Tracking Plus™: Extended History. Purchase the extended tracking history for your item to be sent via email upon request. Choose the length of time you would like to extend access to this tracking history and select for purchase. You can only purchase extended history once, so all orders are final and are not eligible for a refund. Based on your tracking number, your regular tracking history is available only until January 26, 2024 without this purchase. You may purchase USPS Tracking Plus™ for only one tracking number at a time.

3 Years
\$3.75

5 Years
\$4.75

7 Years
\$5.75

10 Years
\$6.75

I have read, understand, and agree to the **Terms and Conditions.**
(<https://www.usps.com/terms-conditions/tracking-plus.htm>)

Select for Purchase

Feedback

Product Information



See Less ^

Tracking Number: 9214890209827500043784

Remove X

Your item has been delivered to an agent for final delivery in OKLAHOMA CITY, OK 73102 on January 27, 2022 at 12:41 pm.

USPS Tracking Plus™ Available v

✓ Delivered to Agent for Final Delivery

January 27, 2022 at 12:41 pm

January 27, 2022 at 12:41 pm
OKLAHOMA CITY, OK 73102

Text & Email Updates



Return Receipt Electronic



Tracking History



January 27, 2022, 12:41 pm

Delivered to Agent for Final Delivery
OKLAHOMA CITY, OK 73102

Your item has been delivered to an agent for final delivery in OKLAHOMA CITY, OK 73102 on January 27, 2022 at 12:41 pm.

January 26, 2022, 1:16 pm

Delivery Attempted - No Access to Delivery Location
OKLAHOMA CITY, OK 73102

January 26, 2022, 8:34 am

Departed USPS Regional Facility
OKLAHOMA CITY OK DISTRIBUTION CENTER

January 25, 2022, 9:32 pm

Arrived at USPS Regional Facility
OKLAHOMA CITY OK DISTRIBUTION CENTER

January 25, 2022, 8:17 pm

Accepted at USPS Origin Facility
OKLAHOMA CITY, OK 73105

January 25, 2022, 4:08 pm

Shipment Received, Package Acceptance Pending
OKLAHOMA CITY, OK 73118

Feedback

January 25, 2022

Pre-Shipment Info Sent to USPS, USPS Awaiting Item

USPS Tracking Plus™



Your item is eligible for USPS Tracking Plus™: Extended History. Purchase the extended tracking history for your item to be sent via email upon request. Choose the length of time you would like to extend access to this tracking history and select for purchase. You can only purchase extended history once, so all orders are final and are not eligible for a refund. Based on your tracking number, your regular tracking history is available only until January 26, 2024 without this purchase. You may purchase USPS Tracking Plus™ for only one tracking number at a time.

3 Years

\$3.75

5 Years

\$4.75

7 Years

\$5.75

10 Years

\$6.75

Feedback

I have read, understand, and agree to the **Terms and Conditions.**
(<https://www.usps.com/terms-conditions/tracking-plus.htm>)

Select for Purchase

Product Information



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FAQs

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